

Customer No.	026418	DT14 Rec'd PCT/PTO 10/501020 09 JUL 2004
Attorney's Docket No.:	GK-ZEI-3234 / 500343.20251	
U.S. Application No.:		
International Application No.:	PCT/EP03/00129	
International Filing Date:	JANUARY 09, 2003	09 JANUARY 2003
Priority Date Claimed:	JANUARY 10, 2002	10 JANUARY 2002
Title of Invention:	Walter WROBEL, Ingo KOSCHMIEDER and Karl-Heinz DONNERHACKE	
Applicant(s) for (DO/EO/US):	ARRANGEMENT AND METHOD FOR ILLUMINATING THE LENS OF THE HUMAN EYE	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of **Publication No. WO 03/057023 17JUL03** the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of **Publication No. WO 03/057023 17JUL03** the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **EXECUTED Decl/POA - TO FOLLOW**
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

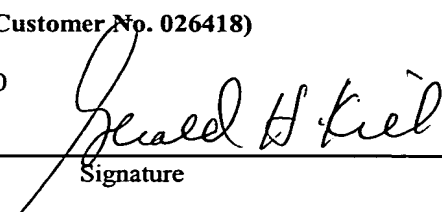
- ☒ 11. **An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98**
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ **A FIRST** preliminary amendment  
☐ **A SECOND** or **SUBSEQUENT** preliminary amendment
- ☒ 14. **A substitute specification with abstract (attached to the preliminary amendment)**
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **Search Reports: PCT/ISA/220 and 210 11DEC02 16MAY06 and German Examination Report 12DEC02 PTO-1449 w/14 References incl. 5 English Abstracts**

EXPRESS MAIL No.: **EV 302 914 185 US**

Deposited: **July 9, 2004**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
/Ruth Montalvo Date: **July 9, 2004**

						CALCULATIONS	PTO USE ONLY
<b>[X] 17. The following fees are submitted:</b>							
<b>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]</b>							
<input checked="" type="checkbox"/>	Search Report has been prepared by the EPO or JPO				\$ 920.00		
<input type="checkbox"/>	International preliminary examination fee paid to USPTO [37 CFR 1.482]				\$ 730.00		
<input type="checkbox"/>	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]				\$ 770.00		
<input type="checkbox"/>	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO				\$ 1,080.00		
<input type="checkbox"/>	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)				\$ 100.00		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT:</b>						<b>\$920.00</b>	
Claims		Number Filed		Number Extra	Rate		
Total Claims (Prel.Amt)		25	-20	5	x \$ 18. =	<b>\$ 90.00</b>	
Indep. Claims		2	-03		x \$ 86. =		
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)					+ \$ 290. =		
<b>TOTAL OF ABOVE CALCULATIONS:</b>						<b>\$1,010.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]							
<b>TOTAL OF ABOVE CALCULATIONS:</b>						<b>\$1,010.00</b>	
Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity							
<b>SUBTOTAL:</b>						<b>\$1,010.00</b>	
Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]							
<b>TOTAL NATIONAL FEE:</b>						<b>\$1,010.00</b>	
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property+							
<b>TOTAL FEE(S):</b>						<b>\$1,010.00</b>	
AMOUNTS TO BE REFUNDED OR CHARGED						<b>REFUNDED CHARGED</b>	\$ \$
(Please note the filing fee is based on the claims in the Preliminary Amendment)							
<input checked="" type="checkbox"/> Check in the amount of \$ 1,010.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)							
<b>NOTE:</b> Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.							
<b>SEND ALL CORRESPONDENCE TO:</b>							
<b>Gerald H. Kiel, Esq.</b> Reed Smith LLP (Customer No. 026418) 599 Lexington Avenue New York, NY 10022-7650							
<b>Gerald H. Kiel</b> Name				 Signature		25,116 Reg. No.	
(Tel. (212) 521-5400)						July 9, 2004 Date	